

Interview 4/20/04

at 2pm.

let  
**Carstens,  
Yee &  
Cahoon, L.L.P.**

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## Facsimile Cover Sheet

To: Commissioner for Patents for Examiner Trisha U. Vu Group Art Unit 2112	Facsimile No.: 703/746-8587
From: Michele Morrow Legal Assistant to Francis Lammes	No. of Pages Including Cover Sheet: 3
<p>Message:</p> <p>Enclosed herewith:</p> <ul style="list-style-type: none"><li>• Applicant Initiated Interview Request Form.</li></ul> <p>Should you need anything else, please do not hesitate to give us a call.</p>	
<p>Re: Application No. 09/881,922 Attorney Docket No: AUS920010332US1</p>	
<p>Date: Wednesday, April 07, 2004</p>	
<p><b>Please contact us at (972) 367-2001 if you do not receive all pages indicated above or experience any difficulty in receiving this facsimile.</b></p>	<p><i>This Facsimile is intended only for the use of the addressee and, if the addressee is a client or their agent, contains privileged and confidential information. If you are not the intended recipient of this facsimile, you have received this facsimile inadvertently and in error. Any review, dissemination, distribution, or copying is strictly prohibited. If you received this facsimile in error, please notify us by telephone and return the facsimile to us immediately.</i></p>

PTOL-413A (08-03)  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

### Applicant Initiated Interview Request Form

Application No.: 09/881,922 First Named Applicant: Capps, Jr. et al.  
Examiner: Vu, Trisha U. Art Unit: 2112 Status of Application: 1st OA

#### Tentative Participants:

(1) FRANCIS LAMMES 55,353 (2) STEPHEN R. TKACS 46,430

(3) \_\_\_\_\_ (4) \_\_\_\_\_

Proposed Date of Interview: 4/20/04 Proposed Time: 1:00 CT (AM/PM)

#### Type of Interview Requested:

(1) ☒ Telephonic (2) ☐ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☒ NO

If yes, provide brief description: \_\_\_\_\_

### Issues To Be Discussed

Issues (Ref., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>102</u>	<u>1-4, 8-10, 15+18-21</u>	<u>Brown et al.</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) <u>103</u>	<u>5, 9-13, 16-17</u>	<u>Brown et al.</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) <u>103</u>	<u>6, 7</u>	<u>Jeddeloh</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) <u>103</u>	<u>14, 22</u>	<u>Harper et al.</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<u>Brown et al.</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<u>Burns et al.</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Continuation Sheet Attached

#### Brief Description of Arguments to be Presented:

SEE ATTACHED

An interview was conducted on the above-identified application on \_\_\_\_\_.

#### NOTE:

This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

Francis Lammes  
(Applicant/Applicant's Representative Signature)

\_\_\_\_\_  
(Examiner/SPE Signature)

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application: **Capps, Jr. et al.** §  
§  
Serial No.: **09/881,922** § Group Art Unit: **2112**  
§  
Filed: **June 14, 2001** § Examiner: **Vu, Trisha U.**  
§  
For: **Method and System for System** § Attorney Docket No.: **AUS920010332US1**  
**Performance Optimization Via** §  
**Heuristically Optimized Buses** §

2pm

**AGENDA FOR TELEPHONE INTERVIEW**

Examiner:

I would like to request a telephone interview on April 20, 2004. Please consider the following topics for discussion:

- Brown et al. does not teach an adapter coupled to a bus through which data flow is monitored. (claims 1, 9 and 15) → counter
- Brown does not teach changing, in small incremental steps, hardware settings upstream to the adapter; and after an optimum performance point is achieved, maintaining current state. (claim 3)
- Brown et al. does not teach a second bus disposed to be monitored by the bus monitor, and connected to the at least one input/output (I/O) adapter. (claim 10)

The Examiner is invited to call at the below-listed telephone number to confirm or reschedule the requested telephone interview.

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